### PATENT COOPERATION TREATY

## **PCT**

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

FOR FURTHER

| Applicant's or agent's file reference<br>VM7031426002   | FOR FURTHER see Form PCT/ISA/220 ACTION as well as, where applicable, ilem 5 below.  |   |  |
|---|--|---|--|
| International application No.<br>PCT/US04/28756   | International filing date (day/month/year)<br>03 September 2004 (03.09.2004)   | (Earliest) Priority Date (day/month/year)<br>05 September 2003 (05.09.2003)                         |  |
| Applicant VARIAN MEDICAL SYSTEMS TECHNOLOGIES, INC.   |  |   |  |
| applicant according to Article 18. A co This international search report consists  It is also accompanie  1. Basis of the Report  a. With regard to the language, the language in which it was filed, the trunished to this Auth  b. With regard to any nucleot  With regard to any nucleot | n prepared by this International Searching At py is being transmitted to the International E of a total of sheets.  I by a copy of each prior art document cited international search was carried out on the bemiess otherwise indicated under this item. I search was carried out on the basis of a transity (Role 23.1(b)). I die and/or amino add sequence disclosed in I unsearchable (See Box No. III). | in this report.  as of the international application in the lation of the international application |  |
| Unity of invention is lackd     With regard to the citie.      the text is approved as substituted the text has been established.   |  |   |  |
|   | nived by the applicant.<br>4, according to Rule 33.2(b), by this Authoris;<br>m the date of mailing of this international sear   |   |  |
| as suggested by the   | published with the abstract is Figure No. Le applicant. Authority, because the applicant failed to sugge Authority, because this figure better character published with the abstract.  | -   |  |

### INTERNATIONAL SEARCH REPORT

International application N

PCT/US04/287

|   |  | 110                        | 11/0304/20130          |  |
|---|--|----------------------------|------------------------|--|
| A. CLASSIFICATION OF SUBJECT MATTER   |  |                            |                        |  |
| IPC(7)  | : G06K 9/00  |                            |                        | j  |
| US CL 382/128-134; 378/08, 65, 95 According to International Patent Classification (IPC) or to both national classification and IPC |  |                            |                        |  |
|   | S SEARCHED .   |                            |                        |  |
| Minimum does  | umentation searched (classification system followed by                               | v classification symbols)  |                        |  |
|   | 2/128-134; 378/08, 65, 95  |                            |                        |  |
|   | ·  |                            |                        |  |
| Documentation   | searched other than minimum documentation to the                                     | extent that such documen   | s are included in      | the fields searched  |
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| Pt  | a base consulted during the international search (name                               | of data base and miner     |                        | ah tama wadi   |
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|   |  |                            |                        |  |
| C. DOCU   | MENTS CONSIDERED TO BE RELEVANT  |                            |                        |  |
| Category *  | Citation of document, with indication, where ap                                      | propriate, of the relevant | passages               | Relevant to claim No.                                      |
| X   | US 3,952,201 A (HOUNSPIELD) 20 April 1976, o   |                            |                        | 1,2,23,31,32,40,50   |
| -   | 1-5; column 3, line 66 through column 4, line 11.                                    |                            |                        | and 53.  |
| Y   |  |                            |                        | 3-12,13-17, 26-30,35-                                      |
|   |  |                            |                        | 39,41-45,48-49,51,52,                                      |
| -   |  |                            |                        | 54-56  |
| 1   |  |                            |                        |  |
| Y   | US 5.271,055 A (HSIEH et al) 14 December 1993,                                       | column 7, lines 10-20.     |                        | 3-12, 13-17, 26-30,35-<br>39,41-45,48-49, 51,              |
|   |  |                            |                        | 52,54-56.  |
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|   |  |                            |                        |  |
| Bushes  | documents are listed in the continuation of Box C.                                   | See patent far             | nilu onnev             |  |
| _   | pocial estegories of cited documents:  |                            |                        | musicant filing date or priority                           |
|   |  | dance and not in o         | onlike with the applic | ados but cleed to understand                               |
| "A" document<br>of particul   | defining the general state of the art which is not considered to be<br>lar relevance |                            | theory underlying the  |  |
| -   | plication or patent published on or after the international filling                  | considered nove            | or cannot be conside   | cinimed invention causes be<br>red to involve an inventive |
| dato  | branches or branch barrents are as a series of the standard transfer                 | step when the de           | coment is taken alon   |  |
| 'L' document  | which may throw doubts on priority claim(s) or which is cited to                     | "Y" document of par        | ticular relevance; the | claimed levention cannot be                                |
| establish t<br>specified)   | the publication date of another clistics or other special reason (as                 | combined with o            | one or cases other man | p when the document is<br>h documents, such combination    |
| *O* document  | referring to an oral discloture, use, exhibition or other means                      | being obvious to           | a person skilled in t  | no art   |
| "A" document member of the same patent family   |  |                            |                        |  |
| P document published prior to the interpretional filling date but later than the priority date claimed.                             |  |                            |                        |  |
| Date of the actual completion of the international search  Date of mailing of the international search  A MAR 2005                  |  |                            |                        |  |
|   | 2005 (15.02.2005)  | 1                          | 1 20 191               | לנחחטי   |
| Name and mailing address of the ISA/US  |  |                            |                        |  |
| Mail Stop PCT, Attn: ISA/US Commissioner for Patents Sheela Chawan  |  |                            |                        |  |
| P.O. Box 1450 Alexandria, Virginia 22313-1450  Yelephone No. #03-305-4876   |  |                            |                        |  |
| Alexandria, Virginia 22313-1450  Facesimile No. (703) 305-3230  |  |                            |                        |  |

## PATENT COOPERATION TREATY

| From the   | HORITY  |   |  |  |
|--|---|---|--|--|
| INTERNATIONAL SEARCHING AUTHORITY TO: PETER C. MEI BINGHAM MCCUTCHEN LLP                                     |   | PCT   |  |  |
| THREE EMBARCADERO CENTER, SUITE 1800<br>SAN FRANCISCO, CA 94111-4067   |   | WRITTEN OPINION OF THE<br>INTERNATIONAL SEARCHING AUTHORITY |  |  |
|  |   |   | (PCT Rule 43bis.1)   |  |
|  |   | Date of mailing (day/month/year)                            | 1.5 MAR 2005   |  |
| Applicant's or agent's file reference  |   | FOR FURTHER   | ACTION<br>See paragraph 2 below  |  |
| VM7031426002   | International filing date   | (dow/month/secon)   | Priority date (day/manth/year)   |  |
| International application No.  |   |   |  |  |
| PCT/US04/28756 International Patent Classification (IP   | O3 September 2004 (03   | .09.2004)<br>ation and IPC                                  | 05 September 2003 (05.09.2003)   |  |
|  |   |   |  |  |
| IPC(7): G06K 9/00 and US C1: 382/<br>Applicant   | 128- 134; 3/8/08,65,95  |   |  |  |
| · ·  | annot ocupe INC   |   | '  |  |
| VARIAN MEDICAL SYSTEMS TE  | CHNOLUGIES, INC.  |   |  |  |
| 1. This opinion contains indication:   | relating to the following ite   | ems:  |  |  |
| Box No. I Basis of   | Box No. I Basis of the opinion.   |   |  |  |
| Box No. II Priority  | Box No. II Priority   |   |  |  |
| Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability |   |   |  |  |
| Box No. IV Lack or   | Box No. IV Lack of unity of invention   |   |  |  |
| Box No. V Reason applica   | Box No. V Reasoned statement under Rule 43bis. 1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |   |  |  |
| Box No. VI Certain   | documents cited   |   |  |  |
| Box No. VII Certain  | defects in the international  | application   | •  |  |
| Box No. VIII Certain   | observations on the interna   | tional application  |  |  |
| 2. FURTHER ACTION  |   |   | "  |  |
| International Desliminary Pro-   | nining Authority ("IPEA") be the IPEA and the chose   | except that this doc<br>in IPEA has notified                | Il be considered to be a written opinion of the<br>is not apply where the applicant chooses an<br>the International Bureau under Rule 66.1bis(b)<br>sidered. |  |
| IDITA - unitten confu together   | where aromorists, with .  | amendments, before  | IPEA, the applicant is invited to submit to the<br>the expiration of 3 months from the date of<br>riority date, whichever expires later.                     |  |
| For further options, see Form  | CT/ISA/220.   |   |  |  |
| 3. For further details, see notes to   | Form PCT/ISA/220.   | 1   |  |  |
| Name and mailing address of the IS   | A/ US   | Authorized offi   | ces 1 / / / / /  |  |
| Mail Stop PCT, Attn: ISA/U<br>Commissioner for Patents   | s .   | Shecla Chawar   | KARRED & INORD   |  |
| P.O. Box 1450 Alexandria, Virginia 22313-1450 Telephone No. 703-305-4876                                     |   |   |  |  |
| Facsimile No. (703) 305-3230   |   | Cretching 140.  |  |  |
| Form PCT/ISA/237 (cover sheet) (Ja   | nuary 2004)   |   |  |  |

### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

Form PCT/ISA/237(Box No. I) (January 2004)

| nternational application No. |  |
|------------------------------|--|
| PCT/US04/28756               |  |

|                   | BYLDIGHT TOTAL COLUMN  | 101/030#20:30   |
|-------------------|--|---|
| Box No            | . I Basis of this opinion  |   |
|                   |  |   |
| l. With a         | egard to the language, this opinion has been established on the basis of<br>filed, unless otherwise indicated under this item.   | f the international application in the language in which  |
|                   | This opinion has been established on the basis of a translation from the which is the language of a translation furnished for the purposes of in   | e original language into the following language,<br>ernational search (under Rules 12.3 and 23.1(b)). |
| 2. With<br>claims | regard to any nucleotide and/or amino acid sequence disclosed in<br>dinvention, this opinion has been established on the basis of:   | n the international application and necessary to the  |
| a.                | type of material   |   |
|                   | a sequence listing   | • •   |
|                   | table(s) related to the sequence listing   |   |
| ъ.                | format of material   |   |
|                   | in written format  |   |
|                   | in computer readable form  | •   |
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| c.                | time of filing/furnishing .  |   |
|                   | contained in international application as filed.   |   |
|                   | filed together with the international application in computer re   | adable form.  |
|                   | furnished subsequently to this Authority for the purposes of ser   | arch.   |
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| 3. 🔲              | In addition, in the case that more than one version or copy of a sifiled or furnished, the required statements that the information in the application as filed or does not go beyond the application as filed | subsequent or additional copies is identical to that in   |
| 4. 'Addi          | clonal comments:   |   |
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# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US04/28756

| applicability; citations and explanations supporting such statement |  |    |  |  |
|---|--|----|--|--|
| 1. Statement  | •  |    |  |  |
| Novelty (N)   | Claims 3-20,26-30,35-39,41-49,51,52 and 54-56  | YE |  |  |
| ***************************************                             | Claims 1,2,22,23,31,32,40,50 and 53            | NO |  |  |
| Inventive step (IS)   | Claims 18-20, 45-47                            | YE |  |  |
|   | Claims 1-17,21,22-39, 40- 45, 48 and 49, 50-56 | N0 |  |  |
| Industrial applicability (IA)                                       | Claims 1-56                                    | YE |  |  |
|   | Claims NONE                                    | NO |  |  |
| Civilian and ambandana  |  |    |  |  |
| Citations and explanations:  Please See Continuation Sheet          |  |    |  |  |
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Box No. V Reasoned statement under Rule 43 his.1(a)(i) with regard to novelty, inventive step or industrial

### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US04/28756

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In case the space in any of the preceding boxes is not sufficient.

### V. 2. Citations and Explanations:

Claims 1, 2, 22, 23, 31, 32, 40, 50 and 53 lack novelty under PCT Article 33(2) as being anticipated by Hounsfield (US 3.952.201).

As to claims 1 22, 31, 40, 50, 53, Hounsfield discloses a method of determining a position of a target region in a medical procedure (abstract, column line 25 - 33), comprising:

acquiring an input image of a target region (note, acquiring image based on radiation source such as X- or Y radiation by monitoring the motion of the heart body by producing motion signal (column 1, lines 53-68, column 2, lines 1-5);

enhancing a feature of the input image (note, CT scanner comprising an X-ray source 2 and detectors 5 monuting on a rotating gantry 7 most by motor 8, e.e.g. monitors 10 and speed control 12 for edjecting the two starts in the heart cycle that case gating the source on and off are movement above the designated threshold and neverances below the threshold of the beginning and ending of specific phases of the movement, column 3, line 66 through column 4, line 11 describe an embodiment in which image data is correlated with motion data on as to a selector the image data that falls within presented regions of the cardiac cycle):

registering the input image with a template (column 2, lines 40-68, column 3, lines 1-49, column 3, line 62 through column 4, line 11); and

determining a position of the argue region in the input image based on the registering (note, fig 2 an electrocardiopium (ne. 2) monitors 10 and speed control 12 for elaysizing the two starts in the heart cycle that case against the source on and of the movement above the designated threshold and movement below the threshold of the beginning and ending of specific phases of the movement, column 3, line 6 through column 4, line 110.

As to claims 2, 23 and 32 Housefield discloses the method, wherein the enhancing comprises determining a composite image of previously acquired input images (column 3, lines 35-49).

Claims 3 -6, 13 -17, 26 -30, 35 - 39, 41 - 45, 48 -49, 51, 52, 54 -56 lack inventive step under PCT Article 33(3) as being unpatentable over Hoursfield (US.3,952,201) in view of Hsieh et al., (US.5,271,055).

Regarding chaim 3. Housefield discloses a method of examining a living body by meass of penetrating radiation, such as X- or gamma, radiation, and monitoring the motion of the heart of said body and providing motion signals indicative of said motion. Housefield is allest about determining a composite image comprises performing as image averaging on the previously acquired input

Hsieh discloses methods for reducing motion induced artifacts in a projection imaging system. The system comprises of

determining a composite image comprises performing an image averaging on the previously acquired input images (column 7, lines 10-20).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify thousfield to include determining a compatible image corruptises performing an image averaging on the previously acquired input images. It would have been obvious to one of ordinary skill in the art at the time of the invention to have modified Hounsfield by the teaching of Hisieh in order to provide a prediction technique in which aberrations in the physiological activity will have minimal effect on accuracy of the predication (as supposted by Hisieh accolumn 3, times 28-30).

#### International application No. PCT/US04/28756

### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

### Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

As to claim 4, Hsieh discloses the method, wherein the enhancing further comprises subtracting the composite image from the input image (column 8, lines 21-37).

As to claim 5, Heich discloses the method, wherein the image averaging is performed using a boxear averaging technique (column 7, lines 10-20).

As to claim 6, Hsieh discloses the method , wherein the image averaging is performed based on a weighted average (column 7, lims 1.20)

As to claims 7, 24 and 33 Heich discloses the method, further comprising selecting the template from a phrality of templates (column 8, lines 20-59).

As to claims 8, 25 and 34 Heich discloses the method, wherein the selecting comprises choosing a template from the plurality of templates that best matches at least a portion of the input image(column 8, lines 20-59).

As to claim 9, Hsieh discloses the method, wherein the selecting comprises:

comparing the input image with at least a subset of the templates (fig 4B); and

selecting the template that best matches at least a portion of the input image( column 8, lines 21-41)

As to claim 10, Hishel discloses the method, wherein the selecting comprises comparing the input image with the template that is generated at purportainety a same time-point or a same phase of a physiological cycle as the input image (obstract, column 2, likes 45-68, column 3, lines 1-30). As to claim 12, Hishel discloses the method, wherein the determining a position of the target region comprises determining

a position of the image is the image in the image in the internation and international control of the image in the image in the internation and international control of the image in the image comprises a flace-copy in image comprises a flace-copy in image instrument used for observing the internal structural of the living body by means of X-rays, corresponds to flacroscopic image, colours 1, limits 37 and 18 and 18

As to claims 14, 27 and 36, Hounfield discloses the method, further comprising performing a medical procedure based on the determined position of the larger region (note, targer region corresponds to monitoring the motion of the heart and providing motion signals indicative of motion, column 2, lines 3 - 3.

As to claims 15, 28 and 37. Hounsfield discloses the method, wherein the medical procedure comprises directing a radiation beam to an object (note, detecting the radiation energem from the body region corresponds to monitoring the motion of the heart and providing motion rightals indicative of motion, column 2, lines 3 - 19).

As to claims 16, 29 and 38, Hounsfield discloses the method, wherein the performing the medical procedure comprises changing a direction of a radiation beam in response to the determined position (column 3, lines 35-49).

As to claims 17, 30 and 39, Hounsfield discloses the method, wherein the performing the medical procedure comprises gasing a delivery of the radiation beam in response to the determined position (note, CT seamer comprising an X-ray source 2 and deteroins 6 mounting on a roading genity? Tokive by motor 3, e.g., manitors 10 and speed countrol 12 for adjusting the two starts in the heart cycle that case gating the source on and off are movement above the designated threshold and movement below the threshold of the beginning and ending of specific plastes of the movement, column 3, line 6 through column 4, line 11).

As to claims 41, 51, 54 and 55, Heich discloses the method, further comprising determining a first value associated with a contrast (column 1, lines 13-38, 57-66, column 4, lines 11-25) of the first difference image (column 1, lines 13-38, 57-66, column 4, lines 11-25, 52 through column 5, lines 30-41).

As to claim 42, Hsich discloses the method, wherein the determining whether the object has moved is performed based on the first value (column 4, line 52 through column 5, line 68).

As to claim 43, Hsieh discloses the method, further comprising:

acquiring a second image of the object (fig 4A, column 5, lines 12-68);

determining a composite image based on the second image and the reference image (column 6, lines 4-59); and

determining whether the object has moved based at least on the second

composite image (fig 4B, column 5, Ines 57- 68).

As to claim 44, Hsieh discloses the method, further comprising determining a second value associated with a contrast of the second composite image (abstract, column 8, lines 10-68).

As to claim 45, Hsieh discloses the method, wherein the determining whether the object has moved is performed based on the second value ( column 8, lines 10-68).

As to claims 49, 52 and 56 Housefield discloses the method, further comprising enhancing a moving object in the first image (note, CT scamer comprising as X-ray source 2 and detectors 6 mounting on a routing gunty 7 drive by motor 8, e.c.g. monitors 10 and speed control 12 for edjusting the two starts in the heart cycle that case gaining the source on and off are movement above the destignated threshold and movement below the threshold of the beginning and ending of specific plasses of the movement, column 3, line 64 through cultum 4, line 11b.

Claims 18-20 and 46 - 47 meet the criteria set out in PCT Article 33(2)(4), because the prior art does not teach or fairly suggest the limitation wherein the target region comprises at least a part of an animal body, a lung tissue or a heart tissue and comprises a bone.